



R F D
RESULTS-FRAMEWORK DOCUMENT
for
Ministry of Tribal Affairs
(2010-2011)

SECTION 1: Vision, Mission, Objectives and Functions

Vision:

To **facilitate** the reduction and removal of the gap in the Human Development Indices (HDIs) of the Scheduled Tribe population vis-à-vis the general population and help empower socially, economically and politically the Scheduled Tribes to enable them to exercise effective control over their life style, their natural resource base and to make informed choices.

Mission:

The Ministry of Tribal Affairs along with various line Ministries of Government of India is fully committed as per the prescription contained in the Allocation of Business Rules to enhance the well-being of all the Scheduled Tribes in the country through a multi-pronged strategy as under:

1. **Formulation** and Promotion of Legislative and Executive interventions (**Good Politics**),
2. **Facilitating** the upgradation of levels of administration in Scheduled Areas through area and population targeted approaches (**Good Governance**), and
3. **Furthering** the creation of basic infrastructure for increasing livelihood opportunities, and for providing nutritional support, education, essential skills and a social safety net for situations of climatic and other distress conditions (**Good Development Core**).

Objectives:

1. **Formulate** projects/ programmes and policies to ensure better targeting through good governance.
2. **Ensure focused and targeted delivery** of services and social welfare products by facilitating State Governments to upgrade the existing administration in the Tribal Areas.
3. **Ensure that the plans and programmes** of line ministries as well as State Govts/ UTs Administration incorporate the specificities of tribal needs and, therefore, build in differential norms and delivery modalities compared to the rest of the country.
4. **Fill-in the gaps** in service deficient tribal areas in sectors such as rights, education, health, drinking water, livelihood and social security through the efforts of Voluntary Organisations, State Govts/ UTs, and Central Ministries.
5. **Create livelihood supporting infrastructure** that is relevant, of excellent quality and is in convergence with other infrastructure development schemes of Government of India/ State Govts by helping State Governments to better design Conservation-cum-Development (CCD) Plans for Particularly Vulnerable Tribal Groups (PTGs) and proposals under Article 275(1) Proviso of Constitution of India.
6. **Bring about efficiency** and transparency in the functioning of the Ministry through outsourced monitoring and evaluation; and by uploading as much programme progress as possible on the Ministry's website.

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Functions:

[As per Government of India (Allocation of Business) Rules, 1961]

1. Social security and social insurance with respect to the Scheduled Tribes.
2. Tribal Welfare: Tribal welfare planning, project formulation, research, evaluation, statistics and training.
3. Promotion and development of voluntary efforts on tribal welfare.
4. Scheduled Tribes, including scholarship to students belonging to such tribes.
5. Development of Scheduled Tribes.
- 5A. All matters including legislation relating to the rights of forest dwelling Scheduled Tribes on forest lands.

Note:- The Ministry of Tribal Affairs shall be the nodal Ministry for overall policy, planning and coordination of programmes of development for the Scheduled Tribes. In regard to sectoral programmes and schemes of development of these communities policy, planning, monitoring, evaluation etc. as also their coordination will be the responsibility of the concerned Central Ministries/ Departments, State Governments and Union Territory Administrations. Each Central Ministry/ Department will be the nodal Ministry or Department concerning its sector.

6.
 - (a) Scheduled Areas;
 - (b) matters relating to autonomous districts of Assam excluding roads and bridge works and ferries thereon; and
 - (c) regulations framed by the Governors of States for Scheduled Areas and for Tribal Areas specified in Part 'A' of the Table appended to paragraph 20 of the Sixth Schedule to the Constitution.
7.
 - (a) Commission to report on the administration of Scheduled Areas and the welfare of the Scheduled Tribes; and
 - (b) issue of directions regarding the drawing up and execution of schemes essential for the welfare of the Scheduled Tribes in any State.
8. The National Commission for Scheduled Tribes.
9. Implementation of the Protection of Civil Rights Act, 1955 (22 of 1955) and the Scheduled Castes and the Scheduled Tribes (Prevention of Atrocities) Act, 1989 (33 of 1989), excluding administration of criminal justice in regard to offences in so far as they relate to Scheduled Tribes.

SECTION 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight %	Actions	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
<u>Objective 1</u> Formulate projects/ programmes and policies to ensure better targeting through good governance.	10	1. Process the finalization of the National Tribal Policy	Seek Cabinet approval	Date	5	31 December, 2010	15 January, 2011	31 January, 2011	15 February, 2011	28 February, 2011
		2. Activate State Tribal Advisory Councils (TACs)	Contact 7 Schedule V States and ensure at least 1 TAC meeting in each State during the year	No.	5	7	6	5	4	3
<u>Objective 2</u> Ensure focused and targeted delivery of services and social welfare products by facilitating State Governments to upgrade the existing administration in the Tribal Areas.	6	Development of a Model/ Plan for raising the level of administration in Scheduled Areas by outsourcing the study proposal.	Submission of Report by the Agency to the Ministry	Date	6	31 December, 2010	15 January, 2011	31 January, 2011	15 February, 2011	28 February, 2011

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Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight %	Actions	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
<p><u>Objective 3</u></p> <p>Ensure that the plans and programmes of line ministries as well as State Govts/UTs Administration incorporate the specificities of tribal needs and, therefore, build in differential norms and delivery modalities compared to the rest of the country.</p>	13	1. Formulation of TSP Cells in major Line Ministries	Setting up TSP Cells in major line Ministries	No.	5	5	4	3	2	1
		2. Preparation of model/ effective Tribal Sub Plans by State Govts.	Hold Regional Workshops on effective formulation of State TSPs	No.	5	3	2	1	-	-
		3. Conduct a study on "Impact assessment of awareness campaigns with recommendations for making campaigns more effective"	Commission a study and submitting report thereon	Date	3	31 December, 2010	15 January, 2011	31 January, 2011	15 February, 2011	28 February, 2011

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Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight	Actions	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
<p align="center"><u>Objective 4</u></p> <p>Fill-in the gaps in service deficient tribal areas in sectors such as rights, education, health, drinking water, livelihood and social security through the efforts of Voluntary Organisations, State Govts/ UTs, and Central Ministries.</p>	26	1. Complete decision by underperforming states on all claims received by June 2009 under Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2008	Disbursal by the laggard States of at least 50% of the rights claimed	Date	3	31 December, 2010	15 January, 2011	31 January, 2011	15 February, 2011	28 February, 2011
		2. Scrutiny and processing of projects under SCA to TSP programme	Percentage of funds released vis-à-vis BE	%	5	95	90	80	70	60
		3. Provide Grants-in-Aid to Voluntary Organisations and release of funds	Percentage of funds released vis-à-vis BE	%	3	95	90	80	70	60
		4. Provide scholarships	Percentage of funds released vis-à-vis BE	%	3	95	90	80	70	60
			Provide scholarship to ten lakh students	%	3	95	90	80	70	60
		5. Augment infrastructure for education (construction of residential schools/ hostels)(Demand driven)	Percentage of funds released vis-à-vis BE	%	3	95	90	80	70	60
			Creation of 8000 additional seats in hostels/ ashram schools	%	3	95	90	80	70	60
		6. Special Coaching (Demand driven)	Percentage of funds released vis-à-vis BE	%	3	95	90	80	70	60

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Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight	Actions	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
<p>Objective 5</p> <p>Create livelihood supporting infrastructure that is relevant, of excellent quality and is in convergence with other infrastructure development schemes of Government of India/ State Govts by helping State Governments to better design Conservation-cum-Development (CCD) Plans for Particularly Vulnerable Tribal Groups (PTGs) and proposals under Article 275(1) Proviso of Constitution of India</p>	26	1. Support infrastructure development and welfare projects under Article 275 (1) proviso of the Constitution of India	Percentage of funds released vis-à-vis BE	%	12	95	90	80	70	60
		2. Conduct a study on physical achievements made under Article 275(1) proviso of the Constitution of India	Commission a study and submitting report thereon	Date	6	15 March, 2011	22 March, 2011	29 March, 2011	30 March, 2011	31 March, 2011
		3. Implementation of Comprehensive Conservation-cum-Development plans for the development of PTGs by State Governments.	Percentage of funds released vis-à-vis BE	%	4	95	90	80	70	60
			Review field progress in two (2) States	Date	4	31 December, 2010	15 January, 2011	31 January, 2011	15 February, 2011	28 February, 2011
<p>Objective 6</p> <p>Bring about efficiency and transparency in the functioning of the Ministry through outsourced monitoring, evaluation and by uploading as much programme progress as possible on the Ministry's website.</p>	6	1. Establishment of a monitoring system for NGO/ VO-run projects by outsourcing.	Generate first Monitoring Report for 2010-11	Date	3	15 March, 2011	22 March, 2011	29 March, 2011	30 March, 2011	31 March, 2011
		2. Undertaking independent evaluation of NGO/ VO-run projects by outsourcing.	Generate mid-term Evaluation Report	Date	3	31 December, 2010	15 January, 2011	31 January, 2011	15 February, 2011	28 February, 2011

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Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight	Actions	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
Efficient Functioning of the RFD System	5	1. Timely submission of draft for approval	On-time submission	Date	2	Mar. 5 2010	Mar. 8 2010	Mar. 9 2010	Mar. 10 2010	Mar. 11 2010
		2. Timely submission of Results	On-time submission	Date	1	May 2 2011	May 3 2011	May 4 2011	May 5 2011	May 6 2011
		3. Finalize a Strategic Plan (After meeting all intermediate deadlines)	Finalize the Strategic Plan for next 5 years	Date	2	Dec. 10 2010	Dec. 15 2010	Dec. 20 2010	Dec. 24 2010	Dec. 31 2010
Improving Internal efficiency of Ministry/ Department	6	1. Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies)	Percentage of RCs covered	%	2	100	95	90	85	80
		2. Implementation of Sevottam	Create a Sevottam compliant system to implement, monitor and review Citizen's Charter	Date	1	Oct. 1 2010	Oct. 5 2010	Oct. 11 2010	Oct. 15 2010	Oct. 20 2010
			Create a Sevottam Compliant system to redress and monitor public Grievances	Date	1	Oct. 1 2010	Oct. 5 2010	Oct. 11 2010	Oct. 15 2010	Oct. 20 2010
			Independent Audit of Implementation of Citizen's Charter	%	1	100	95	90	85	80
			Independent Audit of implementation of public grievance redressal system	%	1	100	95	90	85	80

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Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight	Actions	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
Ensuring compliance to the Financial Accountability Framework	2	<i>1. Timely submission of ATNS on Audit Paras of C&AG</i>	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	0.5	100	90	80	70	60
		<i>2. Timely submission of ATRs to the PAC Sectt. on PAC Reports.</i>	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	0.5	100	90	80	70	60
		<i>3. Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2010.</i>	Percentage of outstanding ATNs disposed off during the year.	%	0.5	100	90	80	70	60
		<i>4. Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2010</i>	Percentage of outstanding ATRs disposed off during the year.	%	0.5	100	90	80	70	60

SECTION 3:
Trend Values of the Success Indicators

Objective	Actions	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
Objective 1 Formulate projects/programmes and policies to ensure better targeting through good governance.	<i>1. Process the finalization of the National Tribal Policy</i>	Seek Cabinet approval	Date	-	-	15 January, 2011	-	-
	<i>2. Activate State Tribal Advisory Councils (TACs)</i>	Contact 7 Schedule V States and ensure at least 1 TAC meeting in each State during the year	No.	-	-	6	-	-
Objective 2 Ensure focused and targeted delivery of services and social welfare products by facilitating State Governments to upgrade the existing administration in the Tribal Areas.	<i>Development of a Model/ Plan for raising the level of administration in Scheduled Areas by outsourcing the study proposal.</i>	Submission of Report by the Agency to the Ministry	Date	-	-	15 January, 2011	-	-

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Objective	Actions	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
<p><u>Objective 3</u></p> <p>Ensure that the plans and programmes of line ministries as well as State Govts/ UTs Administration incorporate the specificities of tribal needs and, therefore, build in differential norms and delivery modalities compared to the rest of the country.</p>	<i>1. Formulation of TSP Cells in major Line Ministries</i>	Setting up TSP Cells in major line Ministries	No.	-	-	4	-	-
	<i>2. Preparation of model/effective Tribal Sub Plans by State Govts.</i>	Hold Regional Workshops on effective formulation of State TSPs	No.	-	-	2	-	-
	<i>3. Conduct a study on "Impact assessment of awareness campaigns with recommendations for making campaigns more effective"</i>	Commission a study and submitting report thereon	Date	-	-	15 January, 2011	-	-

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Objective	Actions	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13	
Objective	Actions	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13	
<u>Objective 4</u>	<i>1. Complete decision by underperforming states on all claims received by June 2009 under Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2008</i>	Disbursal by the laggard States of at least 50% of the rights claimed	Date	-	-	15 January, 2011	-	-	
	Fill-in the gaps in service deficient tribal areas in sectors such as rights, education, health, drinking water, livelihood and social security through the efforts of Voluntary Organisations, State Govts/UTs, and Central Ministries.	<i>2. Scrutiny and processing of projects under SCA to TSP programme</i>	Percentage of funds released vis-à-vis BE	%	86.76	70	90	90	90
		<i>3. Provide Grants-in-Aid to Voluntary Organisations and release of funds</i>	Percentage of funds released vis-à-vis BE	%	NA	70	90	90	90
		<i>4. Provide scholarships</i>	Percentage of funds released vis-à-vis BE	%	88.41	90	90	90	90
			Provide scholarship to ten lakh students	%	104.2	80	90	90	90
		<i>5. Augment infrastructure for education (construction of</i>	Percentage of funds released vis-à-vis BE	%	98.96	90	90	90	90
	Creation of 8000								

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Objective	Actions	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
	<i>residential schools/ hostels)(Demand driven)</i>	additional seats in hostels/ ashram schools	%	100	80	90	90	90
	<i>6. Special Coaching (Demand driven)</i>	Percentage of funds released vis-à-vis BE	%	NA	68	90	90	90
<p align="center"><u>Objective 5</u></p> <p>Create livelihood supporting infrastructure that is relevant, of excellent quality and is in convergence with other infrastructure development schemes of Government of India/ State Govts by helping State Governments to better design Conservation-cum-Development (CCD) Plans for Particularly Vulnerable Tribal Groups (PTGs) and proposals under Article 275(1) Proviso of Constitution of India.</p>	<i>1. Support infrastructure development and welfare projects under Article 275 (1) proviso of the Constitution of India</i>	Percentage of funds released vis-à-vis BE	%	81.68	75	90	90	90
	<i>2. Conduct a study on physical achievements made under Article 275(1) proviso</i>	Commission a study and submitting report thereon	Date	-	-	22 March, 2011	-	-
	<i>3. Implementation of Comprehensive Conservation-cum-Development plans for the development of PTGs by State Governments.</i>	Percentage of funds released vis-à-vis BE	%	100	NA	90	90	90
		Review field progress in two (2) States	Date	-	-	15 January, 2011	-	-
<p align="center"><u>Objective 6</u></p> <p>Bring about efficiency and transparency in the functioning</p>	<i>1. Establishment of a monitoring system for NGO/ VO-run projects</i>	Generate first Monitoring Report for	Date	-	-	22 March,	-	-

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Objective	Actions	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
of the Ministry through outsourced monitoring, evaluation and by uploading as much programme progress as possible on the Ministry's website.	<i>by outsourcing.</i> 2. <i>Undertaking independent evaluation of NGO/ VO-run projects by outsourcing</i>	2010-11 Generate mid-term Evaluation Report	Date	-	-	2011 15 January, 2011	-	-

SECTION 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

- The success indicators are self-explanatory in some cases and as focused as is possible for demand driven schemes.
- Complete project proposals would mean receiving specific proposals from the States complete as per prescribed proforma along with UCs and progress reports.
- Under Objective 4, Action 4, viz. **Provide financial assistance through scholarships**, the physical target of 10,03,809 students is made up of:

(i)	Post- Matric scholarship (PMS)	=	10,00,000 students
(ii)	Rajiv Gandhi National Fellowship (RGNF)	=	3,444 students (renewal of 2777 students who were selected up to 2009-10 + 667 new Fellowships for 2010-11)
(iii)	National Overseas scholarship (NOS)	=	15 students (every year there is target of 15 new students)
(iv)	Top class education	=	350 students

	Total	=	10,03,809 students

- Similarly, under Action 5, viz. **“Augment infrastructure for education (construction of residential schools/ hostels)”**, MoTA implements 2 schemes, viz. “Hostels for ST girls and boys” and “Establishment of Ashram Schools in TSP Areas”. Targets are derived from budgetary allocation as these are need based and demand driven schemes subject to receipt of complete proposals from States/ UTs/ Universities. The physical targets for 2010-11 have been worked out as below:

(i)	Hostels	=	3450 seats
(ii)	Ashram Schools	=	5350 seats

	Total	=	8800 seats

- No targets/ outputs can be indicated under SCA to TSP and the programme of **Grants-in-aid under Article 275(1) Proviso of Constitution of India** since the development projects are determined by State Governments on an annual basis depending on needs shown up from Gram Sabha levels.

SECTION 5:

Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Ministry of Finance	<i>Allocation of Budgetary support and allocation to States/ UTs and intimation thereof.</i>	- Annual Outlays for programmes of the Ministry - Concurrence for funds release based on GFR guidelines only, not progress between releases within same year	To communicate to States intimating their annual allocation under each programme.	N.A	States cannot formulate the schemes in its absence
Ministry of Finance	<i>As noted at Objective S. No. 1, Action 1</i>	Concurrence for creation of an Implementation Cell for National Tribal Policy	For implementing National Tribal Policy	-	Not possible to implement Policy
Ministry of Finance	<i>As noted at Objective S. No. 2,3,5</i>	Concurrence for creation of appropriate heads and provisions therein to outsource work items that cannot be done till the strength of ministry is increased	To undertake additional items of work	-	Not possible to undertake additional items of work
State Governments	<i>As noted at Objective S.No.4 Actions under S. No. 2, 3, 4, 5, 6 and Objective S. No. 5, Actions under S. No. 1 and 3.</i>	Proposals complete in all respects as per guidelines of the scheme	For timely processing of proposals	All	Funds cannot be released and the targeted objective suffers
State Governments	<i>As noted at Objective S. No. 4, Actions under S. No 2, 3, 4, 5, 6 and Objective S. No. 5, Actions under S. No. 1 and 3.</i>	Utilization Certificates	For timely processing of proposals	All	Funds cannot be released and the targeted objective suffers
Line Ministries	<i>As noted at Objective S. No. 3, Action under S. No 1</i>	To formulate TSP Cells in all line Ministries	Effective planning	All concerned line ministries	Problems in earmarking TSP funds available with each Ministry
DOP&T and Ministry of Social Justice & Empowerment	All	-Mandatory Filling-up of common cadre posts/ organized services at the cutting edge level -Deployment of SIU so as to rationalize and improve processing and monitoring of schemes/ proposals	Smooth and efficient functioning to achieve target of success indicators	All Sanctioned posts	Ministry under-performs

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Others:

- The National Tribal Policy, once approved, will be implemented only when the Ministry of Finance concurs with the proposal for creation of an Implementation Cell in MoTA.
- Annual Outlays for programmes of the Ministry are to be communicated by Ministry of Finance for onward communication to States intimating their annual allocation.
- Ministry is majorly dependent on State Governments for successful implementation of programmes (i.e. to say States are to submit proposals in time with relevant details and effectively utilize funds released to them).
- Major constraint is the paucity of officers related to the workload. New work is added but not staff; hence the suggestion to outsource.
- If BE planned for 2010-11 is cut for reasons beyond the control of MoTA, then the performance of the Ministry should be measured with regard to RE.
- The time of officers and staff are also taken up in other activities like Parliament Questions, Parliamentary Committees, Examining Recommendations of various Committees, Annual Plans and Budget-related Work, Preparation of Annual Report, Outcome budget and RFD, Audit-related work, VIP References, RTI Applications, Inter-Ministerial References, Court Cases, etc. Sometimes, queries of Ministers and VIPs that divert time from critical and time bound objectives have to be attended.
- For implementation of Sevottam, the Seven Steps Model for Citizen Centricity will be adopted to the extent feasible for our Ministry, which is not a service and/ or utility provider.